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# PATENT

JC962 U.S. PTO  
01/25/02

J1040 U.S. PTO  
10/057575  
01/25/02

Express Mail Label No.: EL 862019088 US

Case Docket No.: 01-P-18264 US

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231  
Box PATENT APPLICATION

Transmitted herewith for filing is the patent application of:

Inventor: Ning

For: Vertical MIMCap Manufacturing Method

This application includes:

19 pages: specification and claims  
2 sheets of drawings, x formal/      Informal  
     photographs

Also enclosed is:

- x An Assignment of the invention to Assignee(s):
  - x Infineon Technologies North America Corp.
  - International Business Machines Corporation
- A certified copy of      application
- x Declaration and Power of Attorney
- x Information Disclosure Statement pursuant to 37 CFR 1.56
  - x Form PTO-1449
  - Form PTO-1595
- x Post Card (2)

The filing fee has been calculated as shown below:

FOR:	(Col. 1) NO. FILED	(Col. 2) NO. EXTRA RATE	OTHER THAN A SMALL ENTITY FEE
BASIC FEE	XXXXXXXX	XXXXXXXX	XXXXX \$740
TOTAL CLAIMS	20 - 20 =	0	X \$18 = \$ 0
INDEP CLAIMS	2 - 3 =	0	X \$84 = \$ 0
* MULTIPLE DEPENDENT CLAIM PRESENTED			+ 280 = \$
ASSIGNMENT(S)	# 1		X \$40 = \$ 40
			TOTAL \$780

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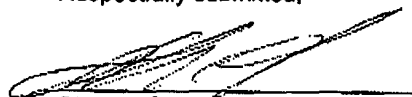
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- 2 -

- ☒ A fee of \$40.00 to cover recordation of the Assignment(s).  
☒ Please charge my Deposit Account No. 19-2179 in the amount of \$780 A duplicate copy of this sheet is enclosed.  
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☒ Any patent application processing fees under 37 CFR 1.17  
☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims

Date: 1/25/02

Respectfully submitted,



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ning

Art Unit: TBD

Serial No.: TBD

Examiner: TBD

Filed: January 25, 2002

Docket: 01-P-18264 US

For: Vertical MIMCap Manufacturing Method



**Certificate of Mailing via Express Mail**  
**Pursuant to 37 C.F.R. § 1.10**

**EXPRESS MAIL** mailing label number: EL 862019088 US

Date of Deposit: January 25, 2002

I hereby certify that the following documents, which are enclosed, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 C.F.R. 1.10 on the date indicated above and addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231:

- Complete Copy of Patent Application (21 pages), to include:
  - Specification (19 pages)
  - Formal Drawings (2 pages)
- Declaration and Power of Attorney (3 pages)
- Assignment Recordation Cover Sheet (1 page)
- Copy of Original Signed Assignment (2 pages)
- Information Disclosure Statement Transmittal Letter (1 page)
- Information Disclosure Statement (2 pages) with (4) references
- Fee Transmittal (1 original and 1 copy)
- Two (2) Return Postcards

Respectfully submitted,

Joan K. Konkell

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